

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Sean Badenhorst et al.

Confirmation No.: 5024

Appln. No.: 10/539,841

Art Unit: 3744

Filed: 11/28/2005

Examiner: NORMAN, MARC E

For: METHOD FOR OPERATING AN AIR CONDITIONING SYSTEM

Attorney Docket No.: 3926.172

Customer No.: 30448

CERTIFICATE UNDER 37 CFR 1.8(a)

1 hereby certify that this correspondence is being deposited either by facsimile to 571-273-8300 or with the U.S. Postal Service as First Class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 23131-1450 on September 21,2000.

La reg. No. 56,150

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1,97 and §1.98

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the following documents for the above-identified application. Copies of the non-US documents set forth below and listed on the attached Form PTO/SB/08A, copy of the International Search Report (ISR) and a German Examination Report dated July 16, 2003 are provided herewith.

- US 6,494,777 B1
- DE 198 47 504 C1 (English abstract provided)
- EP 1 155 886 A1 (in English)

{WP336141;1}

Application No: 10/539,841 Information Disclosure Statement

Attorney Docket No: 3926.172

- 4. US 5,876,277
- English abstract of JP 59 109413 A
- English abstract of JP 9 152238 A
- WO 00/59748 A1 (has English abstract)
- DE 299 01 070 U1 (English abstract provided)
- DE 198 29 998 A1 (English abstract provided)
- 10. DE 198 50 914 A1 (English abstract provided)
- DE 196 07 637 A1 (English abstract provided)
- 12. DE 198 03 196 A1 (English abstract provided)
- DE 197 50 133 C2 (English abstract provided)
- 14. DE 197 20 293 C1 (English abstract provided)

Documents 1-7 are cited in the ISR. Document 8-12 are cited in the German Examination Report dated July 16, 2003. Documents 10 and 13-14 are mentioned in the background of the specification.

The present Information Disclosure Statement is being filed within three months of the filing date of the instant application or before the mailing date of the first Office Action on the merits, therefore no Certification Under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Applicants respectfully request that the listed documents be considered by the Examiner

(WP336141:1)

Application No: 10/539,841 Information Disclosure Statement

Attorney Docket No: 3926.172

and be made of record in the present application and that an initialed copy of Form PTO/SB/08A be returned in accordance with MPEP §609.

Date: September 21, 2006

Respectfully submitted

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SEP 2 5 2006 Subs ne Paperwork Reduction Act of 1995, no persons are required to n

Sheet 1

PTO/SB/08A (07-05) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary) of 2

Complete if Known				
Application Number	10/539,841			
Filing Date	11/28/2005			
First Named Inventor	Sean Badenhorst			
Art Unit	3744			
Examiner Name	NORMAN, MARC E			
	2006 472			

				T DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Publication Date MM-DD-YYYY Number-Kind Code ^{2 (F Insert)}	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		^{US-} 6,494,777 B1	12-17-2002	Chiang	
		US- 5,876,277	03-02-1999	Uemura et al.	
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	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Т,	
		DE 198 47 504 C1	11-16-2000	Deutsche Bahn AG			
		EP 1 155 886 A1	11-21-2001	Zexel Valeo Climate Control Corporation			
		JP 59 109413 A	06-25-1984	NIPPON DENSO CO LTD		L	
		JP 9 152238 A	06-10-1997	SANYO ELECTRIC CO LTD			
		WO 00/59748 A1	10-12-2000	BOSCH AUTOMOTIVE SYSTEMS CORPORATION			
	1	DE 299 01 070 U1	07-08-1999	Kerger, Henrike		Г	

Examiner Signature	/Marc Norman/	Date Considered	03/24/2008
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"EXAMINER: Initial if reference considered, whether or not classon is in conformance with MPEP 698. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant." Applicant's unique classion designation number (optional). "See Kinds Codes of USEPO Peter IDCOMENTS at White Indication of MPEP 9011 A." Effect follows this issued the document, by the whotelter code (MPPO Standord ST3)." For Japanese patent documents, the indication of the year of the regn of the Emperor must precede the serial number of the patent document. Kind of document to the appropriate symbols as indicated on the document code (MPO Standord ST 14) For sobatio. "Applicant is to place a check match here it Employs interpolate in the patent of the patent observable interpolate." Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, tour to process an appreciate, and supporting the governor up to the USPTO. The well way depending upon the individual case. Any comments on the amount of time you require to complete his form and/or supportion, the supporting the complete his form and/or supporting his burden, should be sert to the Chief Information Officer. U.S. Patient and Trademark Officer, Po. Dos 14-56, Alexandris, VA 2331-1460. DO NOT SEND FEES OR COMPLETED FORCES OR SIND THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Sheet 2

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spond to a collection of information unless it contains a valid OMB control number.

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(Use as many sheets as necessary)

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Application Number	10/539,841			
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Art Unit	3744			
Examiner Name	NORMAN, MARC E			
Attomey Docket Number	3926.172			

Examiner		December 11	U. S. PATENT D Publication Date	Name of Patentee or	Pages Columns Lines Where	
Examiner Initials*	Cite No.1	Document Number	MM-DD-YYYY	Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	
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	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	١.	
		Country Code ³ "Number ⁴ "Kind Code ⁶ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	۳	
		DE 198 29 998 A1	10-28-1999	Lohrer, Egon			
		DE 198 50 914 A1	05-18-2000	Messer Griesheim GmbH			
		DE 196 07 637 A1	09-04-1997	Kuehl Entwicklung und Gernetebeu GmbH		L	
		DE 198 03 196 A1	08-06-1998	Denso Corp.			
		DE 197 50 133 C2	04-06-2000	WWU Wasenschaftliche Werkstat für Unweitmeldactin		L	
		DE 197 20 293 C1	06-04-1998	Daimler-Benz Aktiengesellschaft			

Examiner Signature	/Marc Norman/	Consid	dered	03/24/2008

"EXAMINE". Initial if inference considered, whether or not clation is in conformance with MEPE 660. Draw line through clation in not in conformance and not considered. Include copy of this form with next communication to applicant. "Allogarders unique clation designation number (coloriona)." See Kinds Codes of USPTO Pariest Documents as investigation or WEPF 90104. "Exer Office that issued the document, by the two-letter code (WIPFO Designates) are considered in the communication of the communication of the part of the region of the Emperor must previous the such number of the patient document. The indication of the spar of the region of the Emperor must previous the such number of the patient document. The conformance are considered in the communication of the spar of the region of the Emperor must previous the supportate symbols as indicated on the document under WIPO Standard ST.16 if possible. "Applicant is to place a check mark here if English language Translation is stanting."

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This collection of information is required by 37 CFR 197 and 1,98. The information is required to debta or retain a benefit by the public which is to fit and by the This collection of information is required to give 1,05.7. (12 and 27 CFR 1.14. This collection is estimated to blad a bown to complete, considering personnel, and summitting the complete application from the complete, and the control of the complete application from the complete, and the control of the complete application from the complete applins application from the complete application from the complete ap

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